

MINORITY BUSINESS ENTERPRISE OFFICE MARYLAND DEPARTMENT OF TRANSPORTATION 7201 CORPORATE CENTER DRIVE HANOVER, MARYLAND 21076



Complete all items. If an item does not apply, mark "N.A."

Use separator sheets for additional information.

410-865-1269 1-800-544-6056

MINORITY BUSINESS ENTERPRISE DISCLOSURE AFFIDAVIT

Application is hereby made by the organization identified below for certification as a Minority Business Enterprise under the MBE Program of the Maryland Department of Transportation pursuant to Title 14, Subtitle 3 of the State Finance and Procurement Article of the Annotated

| 1. NAME AND ADDRESS Name | Hispanic Asian American U.S. Citizen | | | | |
|-----------------------------------------------------------------------------------------------------|-------------------------------------------|--------------|---------------------------------------|--|--|
| 3. CONTACT PERSON Name Title Telephone Fax | 4. OWNER Name Title TelephoneFax | | | | |
| 5. LIST THE NAMES OF THE OFFICERS OF THE COMPANY PRESIDENT VICE PRESIDENT SECRETARY TREASURER OTHER | | | DATES ELECTED / APPOINTED | | |
| 6. NAMES OF CURRENT BOARD OF DIRECTORS | MINORITY YES NO | DATE ELECTED | HOME ADDR (NUMBER, STREET, CITY, S | | |
| 7. NAMES OF BOARD OF DIRECTORS IMMEDIATELY PRIOR TO CURRENT BOARD | MINORITY YES NO | DATE ELECTED | HOME ADDR (NUMBER, STREET, CITY, S | | |

8. LIST OF PRODUCT(S)/SERVICE(S) OFFERED. BE SPECIFIC. IF KNOWN, LIST NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS) CODE NUMBER FOR EACH ITEM LISTED.

| 10. DOES YOUR COMPANY OWN MAJOR EQUIPMENT? YES NO List on a separate sheet, by type and quantity, major equipment owned. DO NOT LIST RENTAL OR LEASED EQUIPMENT . Is the equipment listed in your possession? YES NO FORM D-EEO-001A (JULY—2003) A material misstatement of fact is sufficient cause for denial of certification. The affiant is subject to penalties for perjury and false statements made in the affidavit. |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| |

| 11. LIST NUMBER OF EMPLOYEES ON PAYROLL (DO NOT LIST EMPLOY FULL TIME PA ADMINISTRATIVE | RT-TIME | SOCIAL MD EM 13. HAVE YC CERTIFI TO APP HOME CERTIFI 15. WHO W NAME | IFIED LY FOR MDOT STATE. PLEASE CATION LETTE ILL BE RESPON | MBER SER Y APPLIED FOR O DENIED (CERTIFICATION, SUBMIT A COP' R AND ON-SITE F SIBLE FOR ON-SI | PLEASE CHECK) YOU MUST BE C Y OF YOUR HON REPORT. TE PROJECT SUI | |
|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|----------------------------|
| | NO PREFEF | RED | NUMBER OF SHARES | VOTING PERCENTAGE | TOTAL COST | DATE OF OWNERSHIP |
| _TOTAL NUMBER OF SHARES: IS THIS A HOLDING OF IF YOUR FIRM IS OW ISSUED THE COMPANY'S SH OUTSTANDING NAMES AND ADDRESS | VNED IN FULL OF AREHOLDERES SES OF DIRECTO | N PART BY O INCLUDE RS AND OFFI | ANOTHER C PERCENTAGE CERS. IF MIN | OMPANY, LIST E OF OWNERSH ORITIES, NO IN | IIP INTEREST, | |
| 17. LIST THE 3 LARGEST PROJECTS IN DOLLAR AMOUNTS COMPLI (1) A. PRIME CONTRACTOR Name | ETED BY YOUR BU | SINESS DURIN | G THE LAST TH | REE YEARS | | |
| Address City | | State | 2 | ZIP CODE | | |
| Telephone | | | | | | |
| B. PROJECT IDENTIFICATION: C. TOTAL DOLLAR AMOUNT OF MBE PORTION OF T YOUR SHARE OF THE MBE PORTION: | THIS PROJECT: | | | | | |
| D. TYPE OF WORK PERFORMED (USE NAICS CODES, | IF KNOWN): | | | | | |
| (2) A. PRIME CONTRACTOR Name | | | | | | |
| Address City | | State | 9 | ZIP CODE | | |
| Telephone | | | | | | |
| B. PROJECT IDENTIFICATION: | | | | | | |
| C. TOTAL DOLLAR AMOUNT OF MBE PORTION OF T SHARE OF THE MBE PORTION: | HIS PROJECT: YOU | JR | | | | |
| D. TYPE OF WORK PERFORMED (USE NAICS CODES, | IF KNOWN): | | | | | |
| (3) A. PRIME CONTRACTOR Name | | | | | | |
| Address City | | Stat | 5 | ZIP CODE | | |
| Telephone | | | | | | |
| B. PROJECT IDENTIFICATION: | | | | | | |
| C. TOTAL DOLLAR AMOUNT OF MBE PORTION OF T YOUR SHARE OF THE MBE PORTION: | THIS PROJECT: | | | | | |
| D. TYPE OF WORK PERFORMED (USE NAICS CODES, | , IF KNOWN): | | | | | |
| | | | | D-EEO-OO1A (JU) | | icient cause for denial of |

A material misstatement of fact is sufficient cause for denial of certification. The affiant is subject to penalties for perjury and false statements made in the affidavit.

| 18. LIST ALL SOURCES AND AMOUNTS OF M LOANED TO THE CORPORATION | ONEY | 20. HAS YOUR FIRM BEEI ADMINISTRATION 8 | N APPROVED BY THE FEDERA (a) PROGRAM? | _ | |
|--------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------------------------|---------|
| SOURCE | AMOUNT | IF YES, FURNISH A COPY OF APPROVAL LETTER | | | |
| | | 21. NAME, ADDRESS AND TELEPHONE NUMBER OF CPA OR ACCOUNTANT | | | |
| | | Name | | | |
| | | Address City | | | |
| 19. IDENTIFY YOUR CURRENT BONDING COI | | | Zip Code | | |
| | CCOUNT NUMBERS | Telephone | | | |
| | | 22. NAME, ADDRESS AND | D TELEPHONE NUMBER OF C | PA OR ACCOUNTANT | |
| <u> </u> | | Name | | | |
| · | | | | | |
| 23. WERE YOU ISSUED A PERFORMANCE BO | | | Zip Code | | |
| YES NO IF YES, HOW MUCH? | | Telephone | | | |
| 24. WHO NEGOTIATES AND SIGNS FOR SURE | TY BONDS AND WHO SIGN | S FOR INSURANCE AND PAYRO | DLL? | | |
| A. SURETY AND / OR PERFORMANCE BONDS | NA | ME | | TITLE | |
| B. INSURANCE | | | | | |
| C. PAYROLL | | | | | _ |
| 25. ALL ORAL AND TACIT AGREEME | | | | | |
| | | F THE COMPANY BETWEE CIATED WITH THE COMPAN | | | |
| | SI | GNATURE OF APPLICANT | | | |
| FREEDOM OF INFORMATION: 1 | THE RELEASE OF STATE DOC | CUMENTS IS GOVERNED BY TH | E APPROPRIATE FEDERAL AN | ND STATE REGULATIONS. | |
| | | FRAUD | | | |
| | | ÍTEMPTING TO OBTAIN OF | | | S |
| STATE OI | FICIAL OR EMPLOYEE F | MENT, WHETHER BY AFFIE OR THE PURPOSE OF INFL AS A MINORITY BUSINESS | UENCING THE CERTIFICA | | A |
| FRAUDU ATTEMP | LENTLY OBTAIN, ATTEM FING TO OBTAIN, PUBLI | PT TO OBTAIN, OR AID AN C MONIES TO WHICH THE | OTHER PERSON IN FRAUE PERSON IS NOT ENTITLE | DULENTLY OBTAINING OF D UNDER THIS SUBTITLE. | R |
| IS SUBJE | | E PROVISIONS OF SUBSEC FOR A PERIOD OF NOT LO | | | |
| MINORIT PROVISIC IMPRISO (TITLE 14 | Y BUSINESS ENTERPRIS ONS OF THIS SUBSECTIO NMENT FOR A PERIOD (| IAKE FALSE STATEMENTS E FOR PURPOSES OF THIS IN IS GUILTY OF A MISDEN OF NOT MORE THAN 6 MO HE STATE FINANCE AND PF | SUBTITLE. ANY PERSON IEANOR AND UPON CON NTHS, OR A FINE OF NOT | WHO VIOLATES THE VICTION IS SUBJECT TO MORE THAN \$500.00 OF | R BOTH. |
| I HAVE R | EAD THE FRAUD STATUT | E | | | |
| | | SIGNATU | IRE OF APPLICANT | | |
| | | | | of fact is sufficient cause for is subject to penalties for p | |

THIS DISCLOSURE AFFIDAVIT INCLUDES ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND TO EXPLAIN THE OPERATIONS OF (NAME OF BUSINESS)

(HEREINAFTER "APPLICANT") IN ORDER TO DETERMINE IF APPLICANT IS A BONAFIDE MINORITY BUSINESS ENTERPRISE WHICH IS OWNED AND CONTROLLED BY MINORITIES IN ACCORDANCE WITH THE REQUIREMENTS OF THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE PROGRAM MANUAL. FURTHER, THE UNDERSIGNED DOES COVENANT AND AGREE TO PROVIDE THE MARYLAND DEPARTMENT OF TRANSPORTATION INFORMATION REGARDING ACTUAL WORK PERFORMED ON A MARYLAND DEPARTMENT OF TRANSPORTATION PROJECT, THE PAYMENT THEREFORE, AND ANY PROPOSED CHANGES IN ANY OF THE ARRANGEMENTS HEREINABOVE STATED AND TO PERMIT AN AUDIT, TO INCLUDE INTERVIEW OF PRINCIPALS, EMPLOYEES, AND OFFICERS AND AN EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE APPLICANT BY AUTHORIZED REPRESENTATIVES OF THE MARYLAND DEPARTMENT OF TRANSPORTATION OR THE FEDERAL GOVERNMENT PRIOR TO AND AFTER INCLUSION IN THE MARYLAND DEPARTMENT OF TRANSPORTATION OR THE FEDERAL GOVERNMENT PRIOR TO AND AFTER INCLUSION IN THE MARYLAND DEPARTMENT OF TRANSPORTATION BUSINESS ENTERPRISE DIRECTORY AS DEEMED NECESSARY.

I ACKNOWLEDGE AND AGREE THAT REPRESENTATIVES OF THE MARYLAND DEPARTMENT OF TRANSPORTATION SHALL BE PERMITTED TO MAKE INQUIRIES OF CREDIT BUREAUS, BANKS, LENDING INSTITUTIONS, BONDING COMPANIES, VENDORS, SUPPLIERS, INSURANCE COMPANIES, AND PRIOR AND CURRENT CONTRACTORS CONCERNING THE FINANCIAL RESPONSIBILITY OF APPLICANT.

I ACKNOWLEDGE THAT THIS AFFIDAVIT IS TO BE FURNISHED TO THE SECRETARY OF THE MARYLAND DEPARTMENT OF TRANSPORTATION AND MAY BE DISTRIBUTED TO THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE ADVISORY COMMITTEE AND MAY ALSO BE DISTRIBUTED TO BOARDS, COMMISSIONS, ADMINISTRATIONS, DEPARTMENTS AND AGENCIES OF: (1) THE STATE OF MARYLAND; AND (2) COUNTIES OR OTHER SUBDIVISIONS OF THE STATE OF MARYLAND; AND (3) OTHER STATES; AND (4) THE FEDERAL GOVERNMENT. I FURTHER ACKNOWLEDGE THAT THIS AFFIDAVIT IS SUBJECT TO APPLICABLE LAWS OF THE UNTIED STATES AND THE STATE OF MARYLAND, BOTH CRIMINAL AND CIVIL, AND THAT NOTHING IN THIS AFFIDAVIT SHALL BE CONSTRUED TO SUPERSEDE, AMEND, MODIFY, OR WAIVE ON BEHALF OF THE MARYLAND DEPARTMENT OF TRANSPORTATION, THE MARYLAND BOARD OF PUBLIC WORKS AND ANY OTHER OFFICE OR AGENCY OF THE STATE OF MARYLAND HAVING JURISDICTION, THE EXERCISE OF ANY STATUTORY RIGHT OR REMEDY CONFERRED BY THE CONSTITUTION AND THE LAWS OF MARYLAND IN RESPECT TO ANY MISREPRESENTATION MADE OR ANY VIOLATION OF THE OBLIGATIONS, TERMS AND COVENANTS UNDERTAKEN BY THE ABOVE FIRM IN RESPECT TO THIS AFFIDAVIT.

I ACKNOWLEDGE AND AGREE THAT THE APPLICANT WILL BE REQUIRED TO APPEAR FOR INTERVIEW BY THE MARYLAND DEPARTMENT OF TRANSPORTATION MINORITY BUSINESS ENTERPRISE ADVISORY COMMITTEE.

I ACKNOWLEDGE THAT THE ELIGIBILITY OF THE APPLICANT FOR CERTIFICATION AS A MINORITY BUSINESS ENTERPRISE WILL BE DETERMINED AS OF THE DATE OF THE DISCLOSURE AFFIDAVIT, BASED ON THE INFORMATION AND DOCUMENTATION SUBMITTED HEREWITH, ANY CHANGES IN OWNERSHIP OR CONTROL MAY NOT BE CONSIDERED IN DETERMINING ELIGIBILITY.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, AND THAT I AM AUTHORIZED, ON BEHALF OF THE ABOVE FIRM, TO MAKE THIS AFFIDAVIT.

| DATE (MM/DD/YYYY) | | SIGNATURE OF AP | PLICANT |
|--------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------------------|
| | | TITLE | |
| NOTARY CERTIFICATE | | | |
| STATE OF: | COUNTY (CITY) C | F: | |
| ON THE:OFOF(MONTH) | /(YEAR) | | |
| THE UNDERSIGNED OFFICER, PERS KNOWN TO ME TO BE PERSON DE SAME IN THE CAPACITY THEREIN S THEREIN ARE TRUE AND CORRECT | SCRIBED IN THE FOREGOING STATED AND FOR THE PURPO | DSES THEREIN CONTAINED AN | DGED THAT HE (SHE) EXECUTED THE NDT HAT THE STATEMENTS CONTAINED OFFICIAL SEAL. |
| | | | SEAL |
| NOTARY PUBLIC | | | |
| MY COMMISSION EXPIRES | | | |
| ТТҮ (410 | | special needs or alternative print, Braille, etc.) by calli | |
| VOICE (410) 865-1269 1-800-544-6056 | TTY (410) 865-1342 | FAX NUMBER (410) 865-1309 | INTERNET www.mdot.maryland.gov |

FORM D-EEO-OO1A (JULY—2003) A material misstatement of fact is sufficient cause for denial of certification. The affiant is subject to penalties for perjury and false statements made in the affidavit.

Statement of Disadvantage

Socially disadvantaged individuals are those who have been subjected to racial or ethnic prejudice or cultural bias within American society because of their identities as members of groups and without regard to their individual qualities. Economically disadvantaged individuals are socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same or similar line of business who are not socially disadvantaged. An individual whose personal net worth exceeds <u>two million one hundred and thirty-six thousand three hundred</u> <u>eighty-two dollars (\$2,136,382)</u> is not economically disadvantaged.

I hereby certify that I have read and understand the above statement and that I am both socially and economically disadvantaged.

| Date | _ | Name | |
|----------|--------|-----------------------------|--|
| State of | COUNTY |)) TO WIT :) | |

| I HEREBY CERTIFY, that on the day of, in the yea | r, before the |
|-----------------------------------------------------------------------|-------------------------|
| subscriber, a notary public of the State of | , in and for the County |
| aforesaid, personally appeared | , known to me |
| to be the person whose name is subscribed to the within instrument an | nd acknowledged that |
| he/she executed the same for the purposes therein contained. | |
| | |

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Notary Public

My Commission expires: